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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION AND FEE TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))



27792

PATENT, TRADEMARK OFFICE

Attorney Docket No. MICR0258

Date: February 1, 2002

Inventor(s): **Shaiwal Singh, William D. Devlin, John Eric Gilbert, and Dave Tamasi**

Title **MULTI-VERSIONING IN A DISTRIBUTED NETWORK**

FULL NAME OF 1<sup>ST</sup> NAMED INVENTOR: Shaiwal Singh COUNTRY OF CITIZENSHIP: India, Permanent US resident

RESIDENCE ADDRESS OF INVENTOR: 416 Fourth Avenue, Kirkland, Washington 98033

FULL NAME OF 2<sup>ND</sup> NAMED INVENTOR: William D. Devlin COUNTRY OF CITIZENSHIP: Canada, Permanent US resident

RESIDENCE ADDRESS OF INVENTOR: 22503 N.E. 25<sup>th</sup> Way, Sammamish, Washington 98074

FULL NAME OF 3<sup>RD</sup> NAMED INVENTOR: John Eric Gilbert COUNTRY OF CITIZENSHIP: US

RESIDENCE ADDRESS OF INVENTOR: 19504 S.E. 21st Street, Sammamish, Washington 98075

FULL NAME OF 4<sup>TH</sup> NAMED INVENTOR: Dave Tamasi COUNTRY OF CITIZENSHIP: US

RESIDENCE ADDRESS OF INVENTOR: 1303 N.E. Campus Parkway #406, Seattle, Washington 98105

10/062944  
10/062944  
02/01/02

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Director of the Patent and Trademark Office  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal (BELOW)
2. ☐ Applicant(s) claims small entity status. See 37 C.F.R. § 1.27.
3. ☒ Specification [Total Pages 40]
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 7] **[FORMAL]**
5. ☒ Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) **[Note Item 5 below]**
    - ii. DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 C.F.R. § 1.76
7. ☐ CD-ROM or CD-R in duplicated, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on: ☐ i. CD-ROM or CD-R (2 copies); or ☐ ii. Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) \_\_\_\_\_ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 \_\_\_\_\_ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be itemized specifically)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. X **NONPUBLICATION REQUEST:** Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

**Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)**

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 1, 2002  
Date

Ronald M. Anderson, Reg No 28,829

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Other: \_\_\_\_\_

17. **If a CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation \_\_\_\_\_ Divisional \_\_\_\_\_ Continuation-in-part (CIP) \_\_\_\_\_ of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

Correspondence address below:

LAW OFFICES OF RONALD M. ANDERSON  
600 - 108th Avenue N.E., Suite 507  
Bellevue, WA 98004

Telephone: 425-688-8816      Facsimile: 425-646-6314

FEE TRANSMITTAL																																																			
<b>METHOD OF PAYMENT</b> (check one)		<b>FEE CALCULATION</b> (continued)																																																	
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <u>01-1940</u> Deposit Account Name: <u>Law Offices of Ronald M. Anderson</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 & 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance		3. <b>ADDITIONAL FEES</b> (Continued)																																																	
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check (No. <u>5707</u> )    Money Order _____ Other _____ <input type="checkbox"/> Payment NOT Enclosed		<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%; text-align: left; padding: 2px;">Fee Description</th> <th style="width: 30%; text-align: right; padding: 2px;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>Extension for reply within first month</td><td></td></tr> <tr><td>Extension for reply within second month</td><td></td></tr> <tr><td>Extension for reply within third month</td><td></td></tr> <tr><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>Notice of Appeal</td><td></td></tr> <tr><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>Request for oral hearing</td><td></td></tr> <tr><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>Design issue fee</td><td></td></tr> <tr><td>Plant issue fee</td><td></td></tr> <tr><td>Petitions to the Director</td><td></td></tr> <tr><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>Recording each patent assignment per property (times number of properties)</td><td style="text-align: right; vertical-align: bottom;"><b>40</b></td></tr> <tr><td>Submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td>Add'l invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other fee (specify)</td><td></td></tr> <tr> <td style="text-align: right; padding-top: 10px;"><b>SUBTOTAL (3)</b></td> <td style="text-align: right; padding-top: 10px;"><b>\$</b></td> </tr> </tbody> </table>		Fee Description	Fee Paid	Requesting publication of SIR after Examiner action		Extension for reply within first month		Extension for reply within second month		Extension for reply within third month		Extension for reply within fourth month		Extension for reply within fifth month		Notice of Appeal		Filing a brief in support of an appeal		Request for oral hearing		Petition to institute a public use proceeding		Petition to revive - unavoidable		Petition to revive - unintentional		Utility issue fee (or reissue)		Design issue fee		Plant issue fee		Petitions to the Director		Petitions related to provisional applications		Submission of Information Disclosure Statement		Recording each patent assignment per property (times number of properties)	<b>40</b>	Submission after final rejection (37 C.F.R. 1.129(a))		Add'l invention to be examined (37 CFR 1.129(b))		Other fee (specify)		<b>SUBTOTAL (3)</b>	<b>\$</b>
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Typed/Printed Name    Ronald M. Anderson

Signature    *Ron Anderson*

Registration Number: 28,829

Date: February 1, 2002

#### EXPRESS MAIL CERTIFICATE

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Date of Deposit: February 1, 2002

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Kathy Paulino  
(Name of person mailing paper/fee)

*Kathy Paulino*  
(Signature of person mailing paper or fee)